

06/26/01  
J1048 U.S. PTO

09/88549 06/26/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	300p5
First Inventor	Ves
Title	Brush Tilting Mechanism
Express Mail Label No.	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification (Total Pages 14)  
(Preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
- ☒ Oath or Declaration (Total Pages 1)
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. _____
Prior application information.		Examiner _____	Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☐ Correspondence address below

Name	Mark Koch				
Address	866 Main St. East				
City	Hamilton	State	ON	Zip Code	L8M1L9
Country	CANADA	Telephone	(905) 549-5880	Fax	(905) 545-2800

Name (Print/Type)	Mark Koch	Registration No. (Attorney/Agent)	44,448
Signature		Date	06/13/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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09/88549  
06/26/01

Under the Patentmark Reduction Act of 1996, no persons are required to respond to a solicitation of information unless it discloses a valid claim serial number.

**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**(\$)355.00**Complete if Known**

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

Vas/Rach30005**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
NumberDeposit  
Account  
Name☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☐ Check☒ Credit card☐ Money  
Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 180 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Release filing fee

114 150 214 75 Provisional filing fee

Fee Paid

355.00**SUBTOTAL (1)** (\$)355.00**2. EXTRA CLAIM FEES**

Total Claims		Extra Claims		Fee from		Fee Paid
Independent		-20** =		below		
Claims		-3** =				
Multiple Dependent						

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Release independent claims  
over original patent110 18 210 9 \*\* Release claims in excess of 20  
and over original patent**SUBTOTAL (2)** (\$)

\*\*or number previously paid, if greater; For Releases, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge - late provisional filing fee or  
cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for ex parte reexamination

112 920 112 920 Requesting publication of SIF prior to  
Examiner action113 1,840 113 1,840 Requesting publication of SIF after  
Examiner action

118 110 218 55 Extension for reply within first month

118 880 218 440 Extension for reply within second month

117 890 217 445 Extension for reply within third month

118 1,395 218 695 Extension for reply within fourth month

128 1,895 228 945 Extension for reply within fifth month

119 310 219 155 Notice of Appeal

126 810 226 405 Filing a brief in support of an appeal

121 270 221 135 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,240 241 620 Petition to revive - unintentional

142 1,240 242 620 Utility issue fee (or release)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

122 130 122 130 Petitions to file Commission

123 50 123 50 Petitions related to provisional applications

128 240 128 240 Submission of Information Disclosure Stmt

581 40 581 40 Recording each patent assignment per  
property (limit number of properties)146 710 246 355 Filing a submission after final rejection  
(37 CFR § 1.129(a))149 710 249 355 For each additional invention to be  
examined (37 CFR § 1.129(b))

176 710 276 355 Request for Continued Examination (RCE)

188 900 188 900 Request for expedited examination  
of a design application

Other fee (specify):

Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

Name (Print/Type)

Mark Roper

Registration No.

44,448

Complete if applicable

Telephone

549-5880

Signature

Mark Roper

Date

06/13/06**WARNING:** Information on this form may become public. Credit card information should not  
be included on this form. Provide credit card information and authorization on PTO-2038.Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on  
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**Mark Arthur Koch**, M.Eng., P.Eng., L.L.B., Barrister & Solicitor



866 Main Street East, Hamilton, Ontario, Canada L8M 1L9 • Tel:(905) 549-5880 • Fax:(905) 545-2800

June 13, 2001

YOUR FILE NO.

OUR FILE NO. 300p5

**Courier Address:**

**Re: New Utility Patent Application**  
**2011 South Clark Place**  
**Arlington, Virginia**  
**22202 USA**

Telephone Contact: (703) 308-1202

Mailing address:  
The Assistant Commissioner of Patents  
Washington, D.C.  
20231  
U.S.A.

Dear Sirs:

Transmitted herewith for filing is a new utility patent application of:

Inventor: Ed Vaes and Mark Koch  
For: **BRUSH TILTING MECHANISM**

The following papers are enclosed:

16 sheets of specification including 14 claims  
17 sheets of informal drawings including 50 drawings

An abstract

Declaration for Utility Patent

New Utility Patent Application Transmittal sheet

Fee Transmittal sheet

Self addressed return card verifying receipt of this package.

Please find enclosed form PTO-2038 Credit Card Payment in the amount of \$355.00.

Yours very truly,

Mark Arthur Koch

44,448

MAK:pf

Encl.

F:\WP\MARK\PAT\300P5US.TL1